

APPENDIX A: CPR Exceptions July 2014 - September 2015

Exceptions

No.	Contract Title	Contract Description	Total Contract Value (£)	Approval Type	Rationale	Approval Date	Approved By	Category Group	Department
1	Debt Collection.	Contract for the short term outsourcing of Adult Social Care debt collection.	£147,577	Exception -Rule 6	Urgent requirement due to the highly time sensitive nature of the requirement – everyday there is a delay in implementing remedial strategies, the aged debt grows; and as aged debts grows it potentially becomes harder to collect	27-Nov-14	Appropriate Chief Officer	Consultancy & Professional Services	Corporate Resources
2	Polling Districts Population Project.	Provision of electoral forecasting up to 2021 to the Local Government Boundary Commission for England.	£19,250	Exception -Rule 6	Use of a subject matter expert.	19-Nov-14	Appropriate Chief Officer	Miscellaneous	Chief Executive's
3	Audio Visual Equipment.	Provision of audio/visual equipment in Council Chamber and Committee Suite.	£15,000	Exception -Rule 6	Replacement of compatible audio/visual equipment.	11-Dec-14	Appropriate Chief Officer	ICT	Chief Executive's
4	Healthy Relationships.	Healthy Relationships social marketing/media campaign.	£8,730	Exception -Rule 6	Use of proprietary material/ services.	11-Aug-14	Appropriate Chief Officer	Healthcare Services	Public Health
5	Health Visiting Services for children aged 0-5 years.	Provision of Children's Health Visiting Services.	£9,600,000	Exception -Rule 6	Exception to the Council's Contract Procedure Rules in order to allow time to develop the proposed commissioning and procurement plan following the transfer of health visiting responsibility to the Council.	06-Feb-15	Cabinet Report	Healthcare Services	Public Health
6	Healthy child programme 0-19 review.	Healthy child programme 0-19 review carried out by an external consultant.	£15,000	Exception -Rule 6	Urgent requirement ahead of the Council assuming responsibility for commissioning of 0-5 children's health visiting services.	23-Jun-15	Appropriate Chief Officer	Healthcare Services	Public Health
7	Help to Live at Home Customer Insight	Provision of support services for the design, facilitation and including independent write up of discussion groups with customers and potential customers being supported to live at home.	£4,900	Exception -Rule 6	The timescales for including outcomes as part of the delivery of the business case and commissioning specifications leaves insufficient time for a full procurement process for Customer Insight.	29-Apr-15	Appropriate Chief Officer	Consultancy and Professional Services	Adults & Communities
8	Collection and treatment of waste gypsum-based material	Award of a 2-year contract for the collection and treatment of waste gypsum-based material to Mid UK Recycling Ltd.	£160,000	Exception -Rule 6	Request for Quotations submissions came back above the estimated value and exceeded the formal tender threshold, exception sought to regularise this.	24-Feb-15	Appropriate Chief Officer	Waste Management	Environment & Transport
9	Continuing Professional Development Programme	Provision of a continuing professional development programme through the Education of Children in Care Service.	£8,450	Exception -Rule 6	Use of proprietary material/ services.	19-Mar-15	Appropriate Chief Officer	Education Services	Children & Family Services
10	Opearational Redesign to Deliver Integration with Health	Provision of consultancy services for health & adult social care integration until end of March.	£157,400	Exception -Rule 6	Subject matter expert, the Council conducted a benchmarking exercise to ascertain Best Value.	15-Aug-14	Appropriate Chief Officer	Social Care	Adults & Communities
11	Review of alternative options arising from Libraries Consultation	Contract to undertake an independent assessment of a number of the alternative proposals.	£5,000	Exception -Rule 6	Urgent requirement using a subject matter expert.	14-Aug-14	Appropriate Chief Officer	Consultancy & Professional Services	Adults & Communities
12	External Support and Challenge.	Provision of independent review of departmental spending in Adults & Communities.	£2,250	Exception -Rule 6	Urgent requirement using an expert resource.	27-Oct-14	Appropriate Chief Officer	Consultancy & Professional Services	Adults & Communities
13	Consultancy Services - Snibston Discovery Museum and County Park.	Evaluation of the future of Snibston Discovery Museum and Country Park.	£1,800	Exception -Rule 6	Contract awarded due to the urgency and limited timescales for reporting to Cabinet in early December/January 2015.	25-Nov-14	Appropriate Chief Officer	Consultancy & Professional Services	Adults & Communities
14	Data Centre Consultancy.	Provision of Data Centre Consultancy.	£63,000	Exception -Rule 6	Urgent requirement to facilitate the delivery of a key deliverable of the Property and Assets Transformation Enabler (delivery for Autumn 2015)	22-Nov-14	Appropriate Chief Officer	ICT	Corporate Resources
15	Website Hosting Services.	Procurement of website hosting services.	£44,590	Exception -Rule 6	Whilst a competitive exercise was conducted under a Crown Commercial Services framework, there was a slight irregularity on the supplier's name hence an exception was sought to rectify the issue and ensure compliance.	19-Dec-14	Appropriate Chief Officer	ICT	Corporate Resources
16	Software Maintenance and Support Contract.	Service management tool used by ICT Services to deliver their Service Management function.	£355,686	Exception -Rule 6	Market research has concluded that there are no other suppliers that can provide maintenance and support for Marval.	30-Apr-15	Appropriate Chief Officer	ICT	Corporate Resources
17	Support for Community Partnership Libraries.	Purchase of targeted support for voluntary and community sector organisations wishing to develop a community-managed library in Leicestershire.	£51,781	Exception -Rule 6	Due to the time constraints involved, in particular between the cabinet decision and the start of the implementation, there is no opportunity to go out for a formal tender.	20-Nov-14	Appropriate Chief Officer	Consultancy & Professional Services	Chief Executive's
18	Tobacco Free Schools Programme.	Provision of a Tobacco Free Schools Programme in Leicestershire for the 2014-2015 school (academic) year.	£99,500	Exception -Rule 6	Limited provider market to deliver the entire programme comprising the following elements:educational,clinical, regulatory, economic and social strategies.	22-Oct-14	Appropriate Chief Officer	Healthcare Services	Public Health
19	16-19 Careers Information, Advice and Guidance.	Provision of 16-19 Careers Information, Advice and Guidance.	£8,300,000	Exception -Rule 6	Limited provider market to deliver the service.	19-Sep-14	Cabinet Report	Education Services	Children & Family Services
20	Community Based Groups - Melton.	Provision of short breaks for disabled children (after school, weekend and holiday provision).	£36,520	Exception -Rule 6	Change of Charity's Legal Status / Change of name of Commissioning Agreement.	02-Mar-15	Appropriate Chief Officer	Social Care	Children & Family Services
21	Community Based Groups -Hinckley.	Provision of short breaks for disabled children (after school, weekend and holiday provision).	£56,000	Exception -Rule 6	Change of Charity's Legal Status / Change of name of Commissioning Agreement.	02-Mar-15	Appropriate Chief Officer	Social Care	Children & Family Services
22	Family Values Project.	Support the Fostering Service in the increasing number of enquiries it receives and also increasing the conversion rate of those enquiries into approved Foster Carers.	£99,000	Exception -Rule 6	Proprietary product/services.	30-Mar-15	Appropriate Chief Officer	Social Care	Children & Family Services
23	External Support and Challenge Looking after Leicestershire.	Provision of technical consultancy for Highways management.	£2,800	Exception -Rule 6	Limited timescale to design and implement a new target operating model for the department.	10-Nov-14	Appropriate Chief Officer	Building & Civil Engineering	Environment & Transport
24	Treatment of residual waste.	Award of a contract to Stoke City Council for waste disposal at Hanford Energy from Waste Facility	£100,000	Exception -Rule 6	Pilot exercise for a cost effective diversion of waste from landfill.	13-Apr-15	Appropriate Chief Officer	Waste Management	Environment & Transport
25	Dry recycling credit options.	Assessment of options for achieving savings from recycling credits.	£22, 500	Exception -Rule 6	Contract awarded on the basis of specialist advice and the interdependency of projects.	24-Mar-15	Appropriate Chief Officer	Waste Management	Environment & Transport
26	Online resource prevention monitoring tool development	Development work by 'Resource Futures'	£30,000	Exception -Rule 6	Use of a subject matter expert.	16-Mar-15	Appropriate Chief Officer	Waste Management	Environment & Transport
27	Learning Management System	Provision of a system for corporate learning and development.	£168,750	Exception -Rule 11(b)	Quotes obtained but the contract opportunity was not advertised due to time constraints.	29-Jan-15	Appropriate Chief Officer	ICT	Corporate Resources

28	Engagement with Leicestershire Families as part of SEND reform.	Provision of specific additional activity to engage with families as part of SEND reform.	£5,000	Exception -Rule 6	Use of a subject matter expert and proprietary material.	02-Apr-15	Appropriate Chief Officer	Education Services	Children & Family Services
29	Business Mentoring Programme for Looked After Children.	Mentoring scheme to support identified young people in care.	£19,950	Exception -Rule 6	Exception sought to regularise the non-publication of the opportunity.	20-Oct-14	Appropriate Chief Officer	Education Services	Children & Family Services
30	Room Booking System.	Purchase of a one year support and maintenance agreement for Condeco	£2,572	Exception -Rule 6	Proprietary product/services.	09-Mar-15	Appropriate Chief Officer	ICT	Corporate Resources
31	Bureau and Maintenance Services	Provision of site specific weather forecasting / modelling & maintenance of weather stations	£34,484	Exception -Rule 6	Direct award of contract on the basis of specialist advice.	22-Sep-15	Appropriate Chief Officer	Building & Civil Engineering	Environment & Transport
32	Season Tickets for the transport of eligible school children	Purchase of season tickets for the eligible school children to Lutterworth Schools for the academic year 2015-16	£170,000	Exception -Rule 6	Urgent requirement.	09-Jul-15	Cabinet Report	Passenger Transport Services	Environment & Transport
33	Social Care in Prisons	Provision of social care in prisons as required under the Care Act 2014 which commenced in April 2015.	£62,500	Exception -Rule 6(b)(ii)	Provision of equivalent social care services to prisoners under the Care Act 2014, first year of contract is designated to be a 'shadow' year where robust and accurate data can be collated from real cases to enable better commissioning in the future.	08-Jul-15	Appropriate Chief Officer	Social Care	Adults & Communities
			£19,847,490						

Contract Extensions

No.	Contract Title	Contract Description (if required)	Total Contract Value (£)	Approval Type	Rationale	Approval Date	Approved By	Category Group	Department
1	Composting of Green Waste at Lount and Kibworth Sites.	Contracts for composting of green waste at two Recycling Household Waste Sites.	£150,000	Contract Extension- Rule 31(iv)	Contracts extended to allow for mobilisation of the newly awarded contracts.	19-Aug-14	Appropriate Chief Officer	Waste Management	Environment & Transport
2	School Nursing Services.	Provision of school nursing services.	£2,800,000	Contract Extension- Rule 31(iv)	Extension of contract in order to allow time to develop the proposed commissioning and procurement plan.	06-Feb-15	Cabinet Report	Healthcare Services	Public Health
3	Collection and Recycling/Disposal of Gypsum Waste.	This is a contract for the Collection and Recycling/Disposal of Gypsum Waste arising at 14 Recycling Household Waste Sites in Leicestershire.	£362,000	Contract Extension- Rule 31(iv)	Extension of the contract to facilitate a re-tender exercise.	12-Nov-14	Appropriate Chief Officer	Waste Management	Environment & Transport
4	Provision of self-service kiosks in LCC managed libraries.	30 self-service kiosks deployed across the library network.	£240,000	Contract Extension -Rule 31(iv)	Extending the existing contract for 1 year to enable market testing and develop a clearer service model.	18-Jun-15	Appropriate Chief Officer	ICT	Adults & Communities
5	Education of Children in Care Transition Project.	Provision of transitional support to key projects and staff.	£18,980	Contract Extension - Rule 31(iv)	Extension of service contract brought on by unforeseen circumstances.	15-Dec-14	Appropriate Chief Officer	Education Services	Children & Family Services
6	Sharing Reading Groups.	The Public Health team conducted a pilot project to introduce Shared Reading groups in Leicestershire to evidence the impact that creative reading interventions might have on well-being and mental health.	£40,000	Contract Extension -Rule 31(iv)	Contract extended for a further year in order to consolidate the project and evaluate its success using expert support.	18-Feb-15	Appropriate Chief Officer	Consultancy & Professional Services	Adults & Communities/ Public Health
7	Mail Services.	Contract for the provision of 2nd class machine readable mail collection from County Hall.	£720,000	Contract Extension - Rule 31(iv)	Contract extended due to the delay in award of the new Crown Commercial Services framework.	05-Feb-15	Appropriate Chief Officer	Facilities Management	Corporate Resources
8	Domiciliary Care and Support Services.	Extension to contract for a maximum end date of 2nd April 2017.	£105,074,076	Contract Extension - Rule 31(iv)	The contract notice and the tender documents stipulated that the contract had a 2 year extension option due to an administrative error within the Adults and Communities dept this option was not reflected in the contract document.	12-Feb-15	Appropriate Chief Officer	Social Care	Adults & Communities
9	Collection of Waste Electronic and Electrical Equipment and Waste Household Batteries.	Provision of WEEE from Whetstone recycling center.	£132,000	Contract Extension - Rule 31(iv)	Uncertainty over new regulations meant an extension of contract offered the best interim decision for 1st January to 31st December 2015.	29-Jul-14	Appropriate Chief Officer	Waste Management	Environment & Transport
10	Reuse of waste	Provision of re-use trials in Leicestershire and Rutland	£25,000	Contract Extension - Rule 31(iv)	To enable the continuing and uninterrupted gathering of data and learning that will inform the inclusion of reuse within the operation of the Recycling Household Waste Sites.	01-Sep-15	Appropriate Chief Officer	Waste Management	Environment & Transport
11	Supply, support and maintenance of a (Children's) Social Care System.	Provision of software system which supports the provision of children's social care operations.	£388,000	Contract Extension -Rule 31(iv)	Extension of the contract to facilitate an options appraisal prior to re-tender exercise.	06-Jul-15	Appropriate Chief Officer	Social Care	Children & Family Services
			£109,950,056						